

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Chew et al.
Serial No.: 09/932793
Filed: August 17, 2001
For: *Improvements in or Relating To Solders*

Commissioner of Patents and Trademarks
Box 17
Washington, D.C. 20231

PATENT & TRADEMARK
OFFICE
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PATENT MAINTENANCE
DIVISION

Request for Refund

#3/ Reg for
Refund
10-23-01

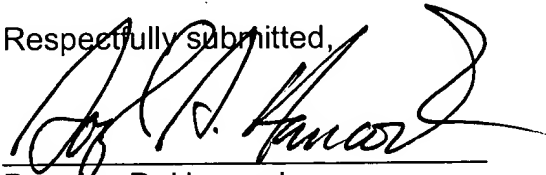
Sir,

The foregoing application was filed on August 17, 2001 along with the filing fee for a large entity (\$710). A copy of the Transmittal letter, the Fee Transmittal and the check that accompanied the application are attached. At the time of filing, the undersigned attorney who filed the application believed that the assignees were large entities. The undersigned has now verified that the assignees qualify as small entities under U.S. rules. The large entity fee of \$710 was thus paid by actual mistake and in excess of the amount required (\$355).

Please refund the undersigned in the amount of the excess payment (\$355) with a check made out to the undersigned attorney's firm, "ipsolon llp." Please do not credit this amount of overpayment to our firm's deposit account.

We appreciate your assistance. Please contact the undersigned by telephone if you have any questions.

Respectfully submitted,



Douglas D. Hancock
Registration No. 35,889

ipsolon llp
Customer No. 21034
805 S.W. Broadway, #2740
Portland, Oregon 97205
phone: (503) 249-7066

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1085-029-DDH
First Inventor Chew
Title Improvements in or Relating to Solders
Express Mail Label No. EL817818189US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

021034

or

☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Douglas D. Hancock

Registration No. (Attorney/Agent)

35.889

Signature

Douglas D. Hancock

Date

Aug 17, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

EL817818189US

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number
 Filing Date Aug 17, 2001
 First Named Inventor Chew
 Examiner Name
 Group Art Unit
 Attorney Docket No. 1085-029-DDH

TOTAL AMOUNT OF PAYMENT (\$) 710

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0241
 Deposit Account Name ipsolon llp

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710 | 201 355 | Utility filing fee | \$710 |
| 106 320 | 206 160 | Design filing fee | \$0 |
| 107 490 | 207 245 | Plant filing fee | |
| 108 710 | 208 355 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | \$0 |

SUBTOTAL (1) (\$) 710

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------|--------------|----------------|----------|
| 11 | -20 = 0 | 9 | 0 |
| Independent Claims 3 | -3 = 0 | 40 | 0 |
| Multiple Dependent | | | |

SUBTOTAL (2) \$0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | \$0 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | \$0 |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | \$0 |
| 116 390 | 216 195 | Extension for reply within second month | \$0 |
| 117 890 | 217 445 | Extension for reply within third month | \$0 |
| 118 1,390 | 218 695 | Extension for reply within fourth month | \$0 |
| 128 1,890 | 228 945 | Extension for reply within fifth month | \$0 |
| 119 310 | 219 155 | Notice of Appeal | \$0 |
| 120 310 | 220 155 | Filing a brief in support of an appeal | \$0 |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | \$0 |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | \$0 |
| 143 440 | 243 220 | Design issue fee | \$0 |
| 561 3 | | Printed copies of patent | \$0 |
| 122 130 | 122 130 | Petitions to the Commissioner | \$0 |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | \$0 |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | \$0 |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | \$0 |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | \$0 |
| 169 900 | 169 900 | Request for expedited examination of a design application | \$0 |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print Type) Douglas D. Hancock

Signature

Registration No. (Attorney/Agent)

35,889

Complete (if applicable)

Telephone (503) 419-0703

Date Aug 17, 2001

IPSOLON LLP
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PORTLAND, OR 97205

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P.O. BOX 2290
RANCHO CORDOVA, CA
95741-2290

3797

11-35/1210

Seven Hundred Ten and 00/100.....

DATE

AMOUNT

August 17, 2001

U.S. \$710.00

Commissioner for Patents
and Trademarks



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